

# Coronary intervention for chronic total occlusion: current indications and future directions

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Chronic total occlusions (CTOs) are routinely encountered during coronary angiography, but subsequent revascularization rates are low. This has likely been driven by a historical belief that there is minimal clinical benefit and poor success rates with a percutaneous coronary intervention (PCI). However, in the current era, with the development of new techniques and tools, experienced operators can perform CTO-PCI successfully in the majority of patients. The current indications and benefit of CTO-PCI remain a topic of controversy and debate. There is a growing body of predominantly nonrandomized studies reporting both short-term and long-term outcomes of CTO-PCI. Recent and upcoming randomized-controlled trials in this area will also potentially expand indications in both stable

and patients with acute coronary syndrome. In this review, we will discuss the current evidence for CTO-PCI and also future directions in this field. *Coron Artery Dis* 28:426–436 Copyright © 2017 Wolters Kluwer Health, Inc. All rights reserved.

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## Introduction

The presence of a chronic total occlusion (CTO), defined as a complete occlusion of a coronary vessel of more than 3 months' duration, is a common finding during routine coronary angiography, with a prevalence between 18 and 52% [1–3]. In recent years, the appropriate management of patients with a CTO has been an important topic of discussion and limited clinical trials. Although a common finding during angiography, the clinical benefit of CTO percutaneous coronary intervention (PCI) continues to be debated, largely driven by a lack of randomized-controlled trial evidence. In addition, the CTO-PCI is complex, costly, and associated with higher complication rates compared with standard PCI [4]. This has led to reluctance to refer these patients for interventions from the wider cardiology community.

The rate of attempted CTO-PCI is low at 10%, with the Canadian multicenter registry showing wide variability in attempt rates of 1–16% across centers. This suggests that patients selected for CTO-PCI are often chosen according to institutional and operator experience rather than clinical need. In certain centers, it is possible that appropriate patients are denied CTO-PCI because of the absence of skills and tools or concern in terms of potential complications. In recent years, CTO-PCI success rates have improved and complication rates have decreased, driven by innovations in both technique and equipment. In experienced CTO centers, success rates of more than 90% can be achieved [5]. Although technical success has improved, the clinical benefits of CTO-PCI have not been resolved. Proponents of CTO-PCI argue that current observational data are sufficient to support the benefit of intervention to improve symptoms and also possibly mortality. To date,

there are no randomized-controlled trials comparing CTO-PCI with coronary artery bypass grafting (CABG) or medical therapy. Opponents of CTO-PCI argue that in the absence of rigorous randomized-controlled trials, there is currently insufficient evidence to justify the procedure in this setting.

Historically, there has been a therapeutic nihilism toward CTO-PCI driven by skepticism and misconceptions of the potential benefit. This review will assess the indications for CTO-PCI, particularly which patients benefit the most from intervention, and discuss potential future directions in this field.

## Indications

The decision to perform CTO-PCI should be patient focused, with the sole aim of improving clinical outcomes. Patients should not undergo intervention to satisfy operator ego or where outcome improvement is futile.

Currently, there is a low level of accepted evidence for the treatment of CTOs, based predominantly on retrospective observational data. The appropriate use criteria outline recommendations for appropriate revascularization for both CTO and non-CTO lesions. Patients with CTO lesions appropriate for intervention are those who are symptomatic and high risk (Fig. 1) [6]. The European Society of Cardiology guidelines assign CTO-PCI a class IIa indication: reasonable in patients with appropriate clinical indications and performed by operators with appropriate expertise. However, there is ambiguity in the definition of appropriate indications and also what constitutes sufficient

Fig. 1

		Asymptomatic			Symptomatic Class I/II			Symptomatic Class III/IV		
		Low risk	Interm. risk	High risk	Low risk	Interm. risk	High risk	Low risk	Interm. risk	High risk
CTO PCI	Single Vessel Disease	I	U	U	U	U	A	U	A	A

		No left main involvement		Left main involvement	
		Low Syntax score	High Syntax score	Low Syntax score	High Syntax score
CTO PCI	Multi-vessel Disease	A	U	U	I

Legend: I - Inappropriate, U - Uncertain, A - Appropriate

Appropriate use criteria for PCI of CTO lesions. A, appropriate; CTO, chronic total occlusions; I, inappropriate; PCI, percutaneous coronary intervention; U, uncertain.

'operator expertise'. The American guidelines have similar recommendations. Both the American and the European guidelines assign a level evidence B, reflecting the presence of conflicting evidence from the randomized and nonrandomized trials [6,7].

The belief that all CTO lesions are benign is likely unsubstantiated, and there are situations where intervention is beneficial. A patient with a CTO lesion requires assessment of symptoms, ischemia, and/or viability before any revascularization [8].

### Patient selection

Patients with a CTO lesion and persistent symptoms despite optimized medical therapy should be considered for revascularization. These patients can also present with atypical symptoms such as reduced exercise tolerance and shortness of breath [9]. Assessment of ischemia is also central to patient selection. Patients with CTO lesions and significant ischemia by myocardial scintigraphy are more likely to have adverse cardiac events compared with those with no ischemia [10]. Not all patients with ischemia necessarily require treatment, with Safley *et al.* [11] reporting worse outcomes in patients who had a low ischemic burden before CTO-PCI. In addition, Gerber *et al.* [12] reported a survival difference of CTO-PCI over medical therapy when viability was demonstrated [13].

Overall, patients with persistent symptoms despite optimized medical therapy and asymptomatic patients with a high burden of ischemia or evidence of viability are suitable candidates for CTO revascularization. Patients who do not fulfill any of these criteria should be managed medically. Importantly, all patients should be formally assessed and investigated for suitability before revascularization. Ad-hoc PCI in the absence of a proper work-up is not warranted [6,9]. Although this review focuses on

PCI, coronary artery bypass grafting is also a therapeutic option in patients with CTO.

### Mortality

There are currently no randomized-controlled trials reporting improved survival with CTO-PCI, but observational studies are suggestive of a possible benefit. Most studies have compared long-term mortality between successful versus unsuccessful attempts at CTO-PCI. Although there is variability in reported outcomes across studies, the majority suggest an association with lower mortality. The heterogeneity across studies reflects differences in patient selection, CTO definition, endpoints, sample size, and technique [14,15]. A recent meta-analysis by Hoebbers and colleagues identified 27 studies eligible for a mortality endpoint with a total of 11 085 patients with a successful CTO procedure versus 4347 patients with an unsuccessful procedure between 1990 and 2013 (Table 1). There were marked differences in sample size from 44 to 2005 patients. In this study, successful CTO-PCI was associated with a significantly lower mortality compared with failed cases. A subgroup of these studies that had a consistent definition of CTO duration of more than 3 months still showed an association with lower mortality with successful revascularization [14]. The observed difference may be attributable to worse outcomes in patients who have an unsuccessful PCI because of adverse events or because of a possible incremental benefit in the successful PCI group. Although this large meta-analysis is suggestive of benefit, any study reliant on observational data is subject to selection bias, especially one that utilizes comparisons of successful versus unsuccessful procedures, rather than comparisons of PCI versus medical therapy.

The observational data are also not consistent. In a recent large Korean study, 1173 consecutive patients enrolled between 2003 and 2014 were assessed for prognostic

**Table 1 Effect on long-term mortality of successful versus unsuccessful chronic total occlusion-percutaneous coronary intervention**

References	Design	Study size	Successful PCI (events)	Unsuccessful PCI (events)	Outcome	Odds ratio
Finci <i>et al.</i> [16]	Retrospective	200	100 (5)	100 (3)	Equivalent	1.70 (0.40–7.32)
Ivanhoe <i>et al.</i> [17]	Retrospective	480	317 (3)	163 (6)	Equivalent	0.25 (0.06–1.01)
Sathe <i>et al.</i> [18]	Retrospective	178	116 (3)	62 (4)	Equivalent	0.38 (0.08–1.78)
Angioi <i>et al.</i> [19]	Retrospective	201	93 (1)	108 (6)	Equivalent	0.18 (0.02–1.56)
Noguchi <i>et al.</i> [20]	Retrospective	226	134 (7)	92 (15)	Favors PCI	0.28 (0.11–0.72)
Suero <i>et al.</i> [21]	Retrospective	2005	1491 (395)	514 (180)	Favors PCI	0.67 (0.54–0.83)
Olivari <i>et al.</i> [22]	Retrospective	369	286 (3)	83 (3)	Equivalent	0.28 (0.06–1.43)
Hoye <i>et al.</i> [23]	Retrospective	871	567 (37)	304 (36)	Favors PCI	0.52 (0.32–0.84)
Arslan <i>et al.</i> [24]	Retrospective	232	117 (19)	115 (37)	Favors PCI	0.41 (0.22–0.77)
Drozd <i>et al.</i> [25]	Retrospective	429	280 (7)	149 (5)	Equivalent	0.74 (0.23–2.37)
Aziz <i>et al.</i> [26]	Retrospective	543	377 (9)	166 (12)	Favors PCI	0.31 (0.13–0.76)
Prasad <i>et al.</i> [27]	Retrospective	1262	914 (220)	348 (101)	Equivalent	0.78 (0.59–1.02)
de Labriolle <i>et al.</i> [28]	Retrospective	167	127 (7)	40 (2)	Equivalent	1.11 (0.22–5.56)
Valenti <i>et al.</i> [29]	Retrospective	486	344 (17)	142 (17)	Favors PCI	0.38 (0.19–0.77)
Chen <i>et al.</i> [30]	Retrospective	152	132 (2)	20 (3)	Favors PCI	0.09 (0.01–0.56)
Yi <i>et al.</i> [31]	Retrospective	1332	1202 (135)	130(24)	Favors PCI	0.56 (0.35–0.90)
Lee <i>et al.</i> [32]	Retrospective	333	251 (8)	82 (4)	Equivalent	0.64 (0.19–2.19)
Mehran <i>et al.</i> [33]	Retrospective	1791	1226 (74)	565 (49)	Favors PCI	0.68 (0.46–0.98)
Borgia <i>et al.</i> [34]	Retrospective	302	237 (19)	65 (9)	Equivalent	0.54 (0.23–1.26)
Joliceour <i>et al.</i> [35]	Retrospective	346	213 (22)	133 (24)	Favors PCI	0.52 (0.28–0.98)
Jones <i>et al.</i> [36]	Retrospective	836	582 (26)	254 (44)	Favors PCI	0.22 (0.13–0.37)
Niccoli <i>et al.</i> [37]	Retrospective	317	196 (5)	121 (10)	Favors PCI	0.29 (0.10–0.87)
Jaguszewski <i>et al.</i> [38]	Retrospective	386	247 (5)	139 (2)	Equivalent	1.42 (0.27–7.39)
Tanaka <i>et al.</i> [39]	Retrospective	284	231 (25)	53 (6)	Equivalent	0.95 (0.37–2.45)
Yamamoto <i>et al.</i> [40]	Retrospective	1524	1192 (92)	332 (35)	Equivalent	0.71 (0.47–1.07)
Yang <i>et al.</i> [41]	Retrospective	136	87 (7)	49 (10)	Favors PCI	0.34 (0.12–0.96)
Jaguszewski <i>et al.</i> [42]	Retrospective	1110	734 (47)	376 (31)	Equivalent	0.78 (0.49–1.25)
Lee <i>et al.</i> [43]	Retrospective	1173	1004 (59)	169 (10)	Equivalent	1.04 (0.53–2.04)

PCI, percutaneous coronary intervention.

benefit between failed and successful procedures [43]. All successful PCI patients underwent drug-eluting stent (DES) implantation. Successful PCI was not associated with a lower mortality risk compared with failed PCI. In addition, there was no mortality benefit irrespective of the presence or absence of coexisting multivessel disease.

The applicability of observational data to clinical practice is difficult, given the significant heterogeneity across these studies [14]. In addition, most of the observational studies predate contemporary techniques and devices, rendering applicability to the modern era problematic. In the absence of randomized-controlled trials, the current evidence for a mortality benefit is at best suggestive rather than definitive. The results of EuroCTO (Randomized Multicentre Trial to Evaluate the Utilization of Revascularization or Optimal Medical Therapy for the Treatment of Chronic Total Coronary Occlusions) and DECISION-CTO (Drug-Eluting Stent Implantation versus Optimal Medical Treatment in Patients with Chronic Total Occlusion) are eagerly awaited [44]. Both studies will assess mortality as part of a composite endpoint (Table 2).

### Angina and quality of life

The decision to proceed to revascularization for angina and quality of life is dependent on an assessment of symptoms and ischemia. Patients should also be optimized on medical therapy [9]. There is general consensus of expert opinion and guidelines that CTO-PCI is indicated in those patients who are still symptomatic despite adequate medical therapy.

The Seattle Angina Questionnaire has been used to assess the benefit of CTO-PCI on physical limitation, angina stability, angina frequency, disease perception, and treatment satisfaction [45]. Wijeyesundera *et al.* [46] reported improved physical limitation and angina frequency compared with medical therapy. However, this study was nonrandomized and patients in the CTO-PCI group were younger, with less comorbidity than the comparator medical therapy group, reflecting an issue of selection bias. Other studies comparing successful versus failed CTO-PCI have also shown significant improvement in angina episodes, physical limitation, and treatment satisfaction [34,47]. In the TOAST-GISE study, patients who had successful versus failed CTO-PCI were more likely to be angina free (89 vs. 75%) and have a negative exercise tolerance test (73 vs. 47%) at 1 year [22]. The FACTOR (FlowCardia Approach to CTO Recanalization) also showed persistent improvement in angina episodes at the 4-year follow-up [47]. Meta-analysis of the observational data is strongly in favor of patients who have successful CTO-PCI versus those with a failed procedure with respect to residual angina. The utility of these results is limited by the variation in how angina was reported as well as the nonrandomized nature of all the studies (Table 3) [49]. Furthermore, not only were these simply observational, comparing PCI versus medical therapy, they were comparing outcomes of patients with successful versus unsuccessful procedures. It is quite conceivable that an unsuccessful procedure could be in itself a marker of more complex anatomy and therefore a higher risk patient, and could untowardly

**Table 2 Study design and characteristics of the EuroCTO and DECISION-CTO studies**

	EuroCTO	DECISION-CTO
<b>Design</b>	Randomized	Randomized
<b>Size</b>	450	1284
<b>Estimated completion date</b>	June 2018	December 2023
<b>Inclusion criteria</b>	<ul style="list-style-type: none"> <li>- ≥ 18 years</li> <li>- CTO in native artery</li> <li>- Stable angina or ischaemia in a territory supplied by CTO, and viability confirmed by MRI</li> <li>- CTO located in segments 1-3 RCA, 6-7 LAD, 11-12 LCx</li> <li>- Target artery ≥ 2.5 mm</li> </ul>	<ul style="list-style-type: none"> <li>- &gt; 18 years</li> <li>- Patients with angina or silent ischaemia and documented ischaemia.</li> <li>- CTO lesion with reference vessel size of 2.5 mm and located in proximal or mid epicardial coronary artery.</li> </ul>
<b>Exclusion criteria</b>	<ul style="list-style-type: none"> <li>- Myocardial infarction within 1 month</li> <li>- Significant untreated artery in a territory other than CTO vessel.</li> <li>- Patients with multivessel disease and significant non-CTO stenoses where it is deemed unsafe to treat the non-CTO lesion first.</li> <li>- Unsuitable for 12 months dual anti-platelet therapy</li> <li>- Any patient unsuitable for PCI or DES</li> <li>- Pregnancy</li> </ul>	<ul style="list-style-type: none"> <li>- History of bleeding or coagulopathy</li> <li>- Pregnancy</li> <li>- Three vessel CTO</li> <li>- Known hypersensitivity or contraindication to contrast agent.</li> <li>- STEMI requiring primary stenting</li> <li>- Left main disease, in stent restenosis, graft vessels or distal epicardial lesion.</li> <li>- Hematological, hepatic, or renal dysfunction.</li> <li>- Contraindication to anti-platelet therapy.</li> <li>- Patients participating in another trial</li> <li>- Any co-morbid condition with limited life expectancy.</li> </ul>
<b>Assigned intervention</b>	PCI of CTO using a Biomatrix drug eluting stent system + optimal medical therapy	PCI of CTO with drug eluting stent (Cypher, Xience, Endeavor, Taxus)
<b>Comparator group</b>	Optimal medical therapy	Optimal medical therapy
<b>Primary outcome</b>	<ol style="list-style-type: none"> <li>1) Quality of life (Baseline and 12 months)</li> <li>2) Major cardiovascular events (composite of all-cause death, non fatal myocardial infarction at 3 years)</li> </ol>	<ol style="list-style-type: none"> <li>1) Composite endpoint of all cause death, myocardial infarction, stroke, and any revascularization at 3 years follow-up.</li> </ol>

CTO, chronic total occlusions; DES, drug-eluting stents; LAD, left anterior descending artery; LCx, left circumflex; PCI, percutaneous coronary intervention; RCA, right coronary artery.

**Table 3 Effect of successful versus failed chronic total occlusion-percutaneous coronary intervention on residual angina**

References	Design	Study Size	Successful PCI (events)	Unsuccessful PCI (events)	Outcome	Odds ratio
Finci <i>et al.</i> [16]	Retrospective	200	100 (43)	100 (74)	Favors PCI	0.27 (0.15–0.48)
Warren <i>et al.</i> [48]	Retrospective	44	26 (3)	18 (17)	Favors PCI	0.20 (0.04–0.95)
Ivanhoe <i>et al.</i> [17]	Retrospective	430	286 (90)	144 (53)	Equivalent	0.79 (0.52–1.20)
Angioi <i>et al.</i> [19]	Retrospective	190	90 (27)	100 (56)	Favors PCI	0.34 (0.18–0.61)
Olivari <i>et al.</i> [22]	Retrospective	308	248 (28)	60 (15)	Favors PCI	0.38 (0.19–0.77)
Drozd <i>et al.</i> [25]	Retrospective	429	280 (120)	149 (79)	Favors PCI	0.66 (0.45–0.99)

PCI, percutaneous coronary intervention.

affect collateral circulation. In addition, as there was suboptimal or no reporting on the use of antianginal therapy, it is unclear whether patients in many of these registries were on optimal medical therapy.

### Left ventricular function

The myocardium subtended by a CTO may be functionally normal, dysfunctional but viable, or dysfunctional and nonviable. Observational studies have shown improvements in left ventricular function at 6 months following CTO recanalization. A meta-analysis by Hoebbers *et al.* [14] reported that in 34 studies with 2243 patients, there was a significant improvement in left ventricular ejection fraction (LVEF) by 4.44% following CTO-PCI compared with preintervention LVEF. The benefit of revascularization has also been maintained with improved left ventricular remodeling and ejection fraction at the 3-year follow-up. A predictor for long-term

improvement in LVEF was related to the extent of transmural infarction on cardiac MRI [50]. Cardiac MRI can be used as a preassessment tool before a planned CTO intervention to help in predicting the degree of benefit that can be anticipated.

### Summary: who should have chronic total occlusion-percutaneous coronary intervention

As discussed, CTO-PCI can be performed for different indications, but in the absence of randomized-controlled trials, the strength of the observational evidence is for patients with significant symptoms on optimal medical therapy. Although it is accepted that randomized trials are needed, suitable patients should not be denied therapy as clinicians await further evidence. Carefully selected patients with well-defined indications should still proceed to intervention as part of current practice. In the absence of symptoms, there should be a higher

threshold to proceed to PCI in this patient group [51]. In asymptomatic patients, the benefit of intervention on outcomes such as mortality or LVEF is tenuous in the absence of randomized trials and intervention in these patients should be approached with caution.

### **Chronic total occlusion-percutaneous coronary intervention in ST segment elevation myocardial infarction patients**

Although the PRAMI (Randomized Trial of Preventive Angioplasty in Myocardial Infarction), CVLPRIT (Randomized Trial of Complete versus Lesion-only Revascularization in Patients Undergoing Primary Percutaneous Coronary Intervention for STEMI and Multivessel Disease), and DANAMI-3 PRIMULTI (Complete Revascularization versus Treatment of the Culprit Lesion only in Patients with ST Segment Elevation Myocardial Infarction and Multivessel Disease: an Open-Label, Randomized-Controlled Trial) studies have suggested the benefit of complete revascularization with the treatment of noninfarct-related lesions in addition to the culprit vessel in ST segment elevation myocardial infarction (STEMI) patients, none were adequately powered and further adequately powered trials are underway [52]. Furthermore, patients with CTOs were excluded from these three trials [53]. A concurrent CTO in a noninfarct vessel is present in ~10% of STEMI patients, and is associated with excess mortality and poor LVEF [54]. Three-year follow-up from HORIZONS-AMI showed that the presence of a CTO in a noninfarct artery was an independent predictor of mortality [55]. The EXPLORE (Evaluating XIENCE V and Left Ventricular Function in PCI on Occlusions after STEMI) trial assessed the benefit of opening a concurrent CTO in a noninfarct-related vessel presenting with STEMI. All patients had staged CTO-PCI within 7 days of STEMI-PCI and in those who underwent a CTO intervention, there was no signal to harm from complications. At the 4-month follow-up, however, there was no change in LVEF and left ventricular end diastolic volume by MRI [56]. There was a suggestion that those with a left anterior descending artery-CTO showed improvement in LVEF, but the numbers were small and further validation from a larger trial is needed [56].

Although the results of the EXPLORE study are disappointing, the results must be considered in the context of the trial's limitations. The core lab-assessed CTO success rate was low at 73% compared with the 90% that can be achieved at experienced CTO centers [57]. Completeness of revascularization is unclear in the study. A follow-up period of 4 months may not be sufficient to elicit myocardial recovery. Regional wall motion changes were also not assessed [58].

Future studies in this area need to identify which patients if any with CTO benefit and the potential role of viability assessment.

### **Chronic total occlusion-percutaneous coronary intervention as part of complete revascularization**

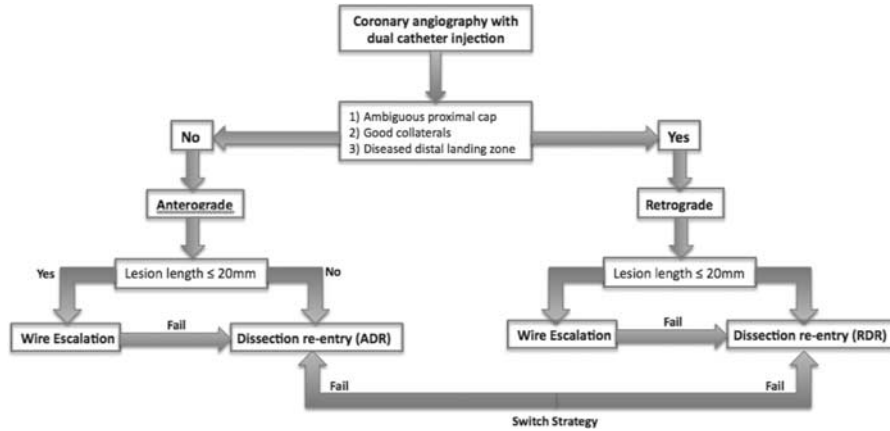
The EXPLORE study assessed the CTO intervention only in STEMI patients with multivessel disease. CTO lesions are also common in patients with multivessel disease, presenting with stable angina or non-STEMI. In the Canadian CTO registry, multivessel disease was present in 75% of patients with CTOs. In patients with multivessel disease, CTO-PCI was only attempted in 22% of cases, reflecting that current practice is to perform PCI in the nonoccluded vessels, leaving the CTO unrevascularized [1].

Patients with multivessel disease who have complete revascularization (defined as treatment to the main epicardial arteries) compared with incomplete revascularization have 30% less long-term mortality, a 22% reduction in myocardial infarction, and a 26% reduction in repeat revascularization [59]. Complete revascularization is more frequently achieved with CABG rather than PCI [59]. A major cause of failed complete revascularization in many major trials was because of unsuccessful CTO-PCI. The SYNTAX (Synergy between Percutaneous Coronary Intervention with Taxus and Cardiac Surgery) trial randomized 1800 patients with multivessel disease to either CABG or PCI [60]. In SYNTAX, CTO prevalence was 23% and successful CTO revascularization was higher in the CABG group than in the PCI group. A CTO was the main reason for not achieving complete revascularization in the PCI arm of SYNTAX. The lower complete revascularization rates in the PCI arm may also have contributed toward the higher rate of major adverse cardiac events compared with CABG [61]. The residual SYNTAX score, which is a score based on the residual coronary disease following PCI, is a marker of completeness of revascularization [62]. A score of more than 8 was associated with increased mortality at 5 years [63]. Registry data from the New York State Percutaneous Intervention Reporting System are also in favor of complete rather than incomplete revascularization [63]. In 22 000 patients, those who had incomplete revascularization because of the presence of a CTO had significantly higher mortality [63]. These data support the importance of complete revascularization, for which the presence of a CTO is a major barrier.

### **Chronic total occlusion-percutaneous coronary intervention technique in the current era**

CTO revascularization has evolved in the current era, introducing new techniques to improve procedural success. Historically, CTO techniques were exclusively antegrade wire escalation, and there was high procedural failure. Novel techniques such as antegrade dissection re-entry and retrograde techniques have been integrated into the CTO treatment algorithm [64,65]. This has improved success in specific lesion subsets such as ambiguous proximal cap, poor distal targets, and long

Fig. 2



Hybrid algorithm for the treatment of chronic total occlusions. ADR, antegrade dissection re-entry; RDR, retrograde dissection re-entry

occlusions. These new techniques have been incorporated into the modern hybrid CTO algorithm (Fig. 2). The hybrid algorithm is based on the principle that patient anatomy dictates PCI strategy. Good angiography is important, usually with dual injections in both coronary arteries, to adequately visualize the proximal segment, the occlusion itself, collaterals, and the distal bed. The three CTO recanalization techniques, antegrade, retrograde, and dissection re-entry, can be alternatively applied or combined as needed.

### Antegrade technique

An antegrade technique is the primary strategy for most patients with a CTO. A single-wire technique over a microcatheter is the initial standard approach. A wire escalation technique is then used with increasingly stiffer coronary wires or alternatively a 'step up–step down' approach alternating between stiff and soft coronary wires. The latter approach can be used to overcome an angulated chronic total occlusion. If a single-wire technique fails, a parallel-wire technique can be used by placing a second wire while leaving the first wire in place as a marker [66].

### Antegrade dissection/re-entry

The antegrade dissection and re-entry technique involves the intentional use of the subintimal space for crossing CTO lesions and then re-entering the true distal lumen [64]. Tools such as the CrossBoss catheter (Boston Scientific, Natick, Massachusetts, USA) and the Stingray system (Boston Scientific) have eased the procedural challenges of this technique [67]. An antegrade dissection/re-entry technique is used as a last resort as it is associated with a high rate of restenosis and reocclusion [68].

### Retrograde technique

An antegrade approach is successful in the majority of patients; however, in those with an ambiguous proximal

cap, a poor distal target, or good interventional collaterals, a retrograde technique may be more suitable [69]. In 2005, the introduction of the controlled antegrade retrograde subintimal tracking (CART) technique improved success rates, particularly in challenging lesions. This technique involves intentional wiring of collaterals to allow retrograde wiring and ballooning of the distal cap to facilitate antegrade wiring. This technique has been updated with the reverse-CART technique, in which a balloon is inflated on the antegrade wire to enlarge the subintimal space, allowing the retrograde wire to be advanced into this space. The retrograde wire is then advanced into the antegrade guiding catheter and the wire is then externalized [70].

### Summary

Procedural planning is integral to a modern CTO-PCI approach. Ideally, CTO procedures should not be performed ad hoc. Rather, patients should be brought back for a dedicated attempt of the CTO lesion. CTO-PCI should be a standalone procedure and not performed at the same time as non-CTO lesions to minimize complications such as contrast nephropathy and radiation injury. This will allow time to appropriately plan the procedure with careful interrogation of the angiogram, anticipation of required equipment and procedural challenges, and also use of adjunctive imaging tools such as cardiac computed tomography [71].

The use of the hybrid algorithm, in combination with evolution of dedicated CTO devices, has improved CTO success rates to ~90% [57]. Success rates are high even by relatively novice operators [72].

### Stent technology in chronic total occlusion-percutaneous coronary intervention

The Total Occlusion Study of Canada (TOSCA) was a randomized trial that established the role of stents in

CTO-PCI, showing that use of the heparin-coated Palmaz-Schatz stent was superior to balloon angioplasty alone, with better late patency and less restenosis and target lesion revascularization [73]. However, historical outcomes of CTO-PCI were still hampered by issues of long-term patency particularly in the era of bare metal stents, with rates of restenosis as high as 40% [74]. The development of DESs was a therapeutic advance, their use resulting in significantly less target lesion revascularization, restenosis, and stent reocclusion than the use of bare metal stents (BMS) [75]. DESs are the current standard of care for CTO-PCI. The major adverse cardiac events superiority found with DES was driven predominantly by target lesion revascularization [76]. Early trials evaluated sirolimus-eluting or paclitaxel-eluting stents compared with BMS. In the randomized PRISON-II trial, treatment with sirolimus-eluting stents (SES) significantly reduced restenosis (41–11%) and vessel reocclusion (13–4%) compared with BMS [77]. Treatment with contemporary everolimus-eluting stents (EES) has been shown to be equivalent to SES [78]. The EXPERT CTO (Safety and Effectiveness of EES in Chronic Total Coronary Occlusion Revascularization) assessed the outcomes of 250 consecutive CTO patients in 20 centers, treated with contemporary techniques and EES. Target lesion revascularization at 1 year was 6.3% in these patients with high lesion complexity [79]. The results from these recent studies indicate that with modern techniques and DESs, CTO-PCI can be performed with good long-term durability. These improved results compared with historical outcomes challenge the perception that CTO-PCI is associated with poor long-term outcomes.

### **Drug-eluting stents with biodegradable polymers**

Although modern DES play a central role in modern PCI, some concerns have been raised about late target vessel failure potentially driven by inflammation and hypersensitivity to the presence of a durable polymer [80,81]. To overcome these issues, the novel Osiro stent (Biotronik, Berlin, Germany) was developed, a hybrid thin strut sirolimus-eluting stent with a biodegradable polymer. The PRISON IV (Randomized Multicenter Trials Investigating the Angiographic Outcome of Hybrid Sirolimus-Eluting Stents with Biodegradable Polymer Against Everolimus-Eluting Stents with Durable Polymer in Chronic Total Occlusions) failed to show noninferiority of hybrid SES compared with EES for in-segment late lumen loss and also showed a high rate of binary restenosis in the hybrid SES group [82]. Although the BIOFLOW-II study showed noninferiority of hybrid SES compared with EES in simple lesions, its role in more complex CTO lesions remains uncertain [83].

### **Bioresorbable scaffolds in chronic total occlusion-percutaneous coronary intervention**

Bioresorbable scaffolds (BRS) represent one of the latest innovations in interventional cardiology and provide an alternative treatment choice to DES. BRS allow temporary scaffolding of diseased vessels, subsequently resorbing over time, possibly allowing for restoration of normal vessel vasomotion after the scaffold is completely resorbed [84,85].

Patients with CTO lesions were excluded from all randomized-controlled BRS trials to date, with current BRS experience limited to single-center or registry data [84–87]. The largest registry to date, the BONITO registry, assessed outcomes in 153 patients who underwent CTO-PCI with BRS versus those who were treated with DES. At a median follow-up of 703 days, there was no difference in target vessel failure between BRS and DES, but there was a signal toward a higher rate of ischemia-driven target lesion revascularization in the BRS group [88].

Although these small registry and single-center reports suggest early acceptable outcomes, further long-term randomized-controlled trials are needed. A recent 3-year follow-up from ABSORB II showed an alarmingly higher rate of target vessel myocardial infarction and stent thrombosis using Absorb (Abbott Vascular, Santa Clara, California, USA) compared with Xience (Abbott Vascular) in a patient cohort with relatively low lesion complexity [89]. In light of these findings, current routine use of BRS in CTO-PCI cannot be advocated and highlights the need for further study and validation. Technological advances with thinner strut BRS may play a future role in CTO-PCI.

### **Novel technologies**

New devices and therapies continue to be developed to improve CTO-PCI procedural success.

The TOSCA-5 trial assessed pretreatment of CTO patients with collagenase administered into the proximal fibrous cap, which can potentially soften hard plaque, usually present in CTO lesions. In this small study, patients randomized to the study group were more likely to have successful soft wire crossing, but there was no difference in the overall procedural success by QCA between the groups [90]. The PlasmaWire (RetroVascular Inc, Pleasanton, California, USA) is a new device using a novel wire with radio-frequency energy to aid CTO recanalization. This technology may primarily play a role in penetrating a proximal CTO cap when traditional guidewires have failed. There has been initial experience in seven human cases, but further validation is needed with larger clinical trials [91]. These novel technologies may play a potential future role in CTO-PCI.

## Challenges to chronic total occlusion-percutaneous coronary intervention

The current rate of referral and subsequent revascularization of CTO patients is low. Although not every CTO patient warrants intervention, current low revascularization rates of 10% likely reflect that patients who would potentially benefit from intervention are not being referred. As we have established, the course of all CTO patients is not 'benign' and there is likely clinical benefit in carefully selected patients. Therefore, educating clinicians on the importance of the assessment and benefits of CTO-PCI is integral for the field to evolve. However, concurrent development of high-quality clinical trials is essential to hopefully address any doubts in terms of efficacy.

Another main barrier hampering the widespread adoption of CTO-PCI is the complexity of the procedure, higher complication rate, and lower success rate compared with non-CTO-PCI. Recent advances in technique and device technology have improved success rates to 80–90% in specialized centers [92,93]. In addition, the procedure is safer, with an ~1% risk for major complications of death, myocardial infarction, tamponade, and contrast-induced nephropathy [92]. However, outside of centers of excellence with specialized CTO skills, the results have wide variability. Therefore, CTO-PCI should be performed at specialized centers and by operators with the necessary expertise. Training of operators remains a challenge both to the individual and to the institution. The number of CTO cases may be limited and institutional support may be lacking. The European CTO club recommends a minimal annual operator volume of 50 cases, but there is variability across societies on what is deemed to be an adequate annual case load. To concentrate experience, it is also logical that only particular individuals or even particular centers perform CTO intervention rather than all operators or all centers. Data from the USA identified the majority of operators to be low/intermediate-volume operators, with ~75% performing less than 100 PCI cases per year. The median operator volume in 2009 was only 33 [94]. Therefore, when the majority of operators have a relatively low overall annual PCI volume for individual operators to achieve 50 complex CTO cases can be challenging [47]. Training of new operators should include mentorship, proctorship, attendance at meetings, case review, and a consistent minimum case load, all of which are paramount to establishing a successful CTO-PCI program.

Adoption of CTO-PCI can also be limited by cost and resource limitations. CTO intervention in comparison with routine PCI is generally costlier, with a higher demand on resources. Gada *et al.* [95] reported that a CTO-PCI approach was more expensive relative to optimal medical therapy (US\$31512 vs. US\$27805). However, nonrandomized data have shown that CTO-PCI provided greater quality adjusted life years (2.38 vs. 1.99), yielding acceptable cost-effectiveness [94].

## Future direction/summary

The decision to treat patients with CTO should be for patient-driven indications, and importantly, patients should not be denied therapy because of lesion complexity. Current referral rates for CTO-PCI are low and it important to continue further education of clinical cardiologists so that suitable patients are at least considered and assessed for revascularization. Although there is currently a lack of robust evidence in favor of CTO-PCI, it is still likely that a subset of patients will gain some benefit from intervention. This highlights the need for specialized heart teams focusing on high-risk PCI patients to assess these patients. This heart team approach would enable a multidisciplinary assessment, and importantly, management by CTO specialists who have the necessary annual case load and skill set. Evolution in technique and equipment means that in current practice, experienced operators can perform CTO-PCI successfully in most patients.

Finally, for the right patient, and in the right hands, CTO-PCI can be performed safely to improve patient outcomes. However, identifying which patients benefit and validating a prognostic benefit will remain an ongoing challenge for future trials in this area.

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### Conflicts of interest

Dr. Džavík has received speaker honoraria and meeting travel grants from Abbott Vascular. For the remaining author there is no conflict of interest.

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